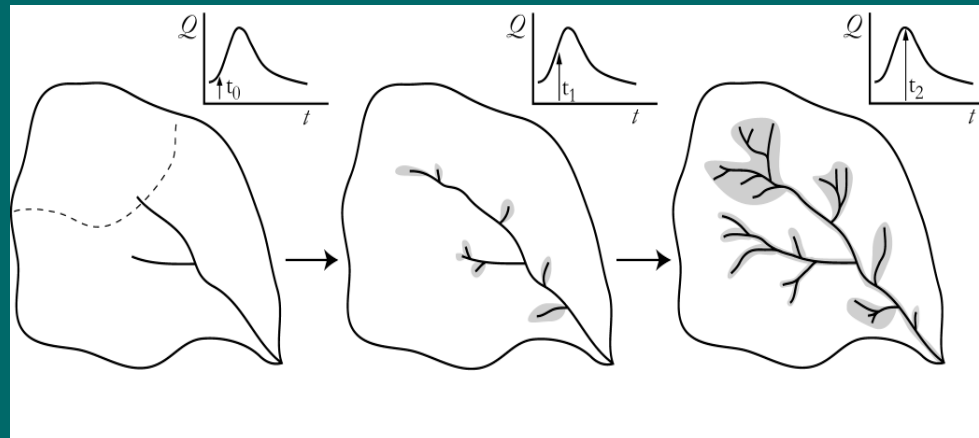


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# Modélisation numérique des interactions eaux souterraines / eaux de surface: défis et progrès récents



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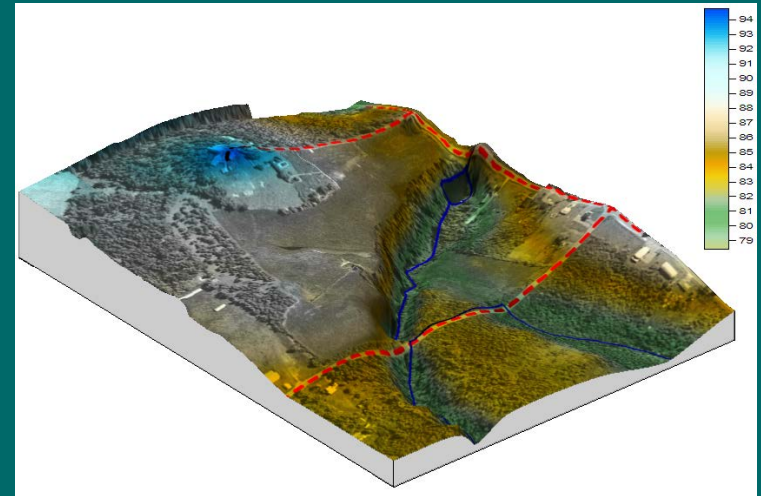
## Context

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Proper understanding and representation of hydrosphere interactions (between the atmosphere, land surface, soil zone, aquifers, rivers/lakes, and vegetation) is increasingly relevant to climate prediction, environmental protection, and water management

We are at a crossroads in hydrological modeling:

- models (of all flavors) are being integrated across many disciplines and over multiple scales, and they are being intercompared
- better datasets are increasingly being made available (for hypothesis testing and model validation) that provide observations (on the ground, airborne, and from space) of more processes, in more detail, and at higher accuracy
- computational boundaries are continually being pushed (cost and capabilities of systems, efficiency and robustness of algorithms), for easier and more effective data analysis and process simulation



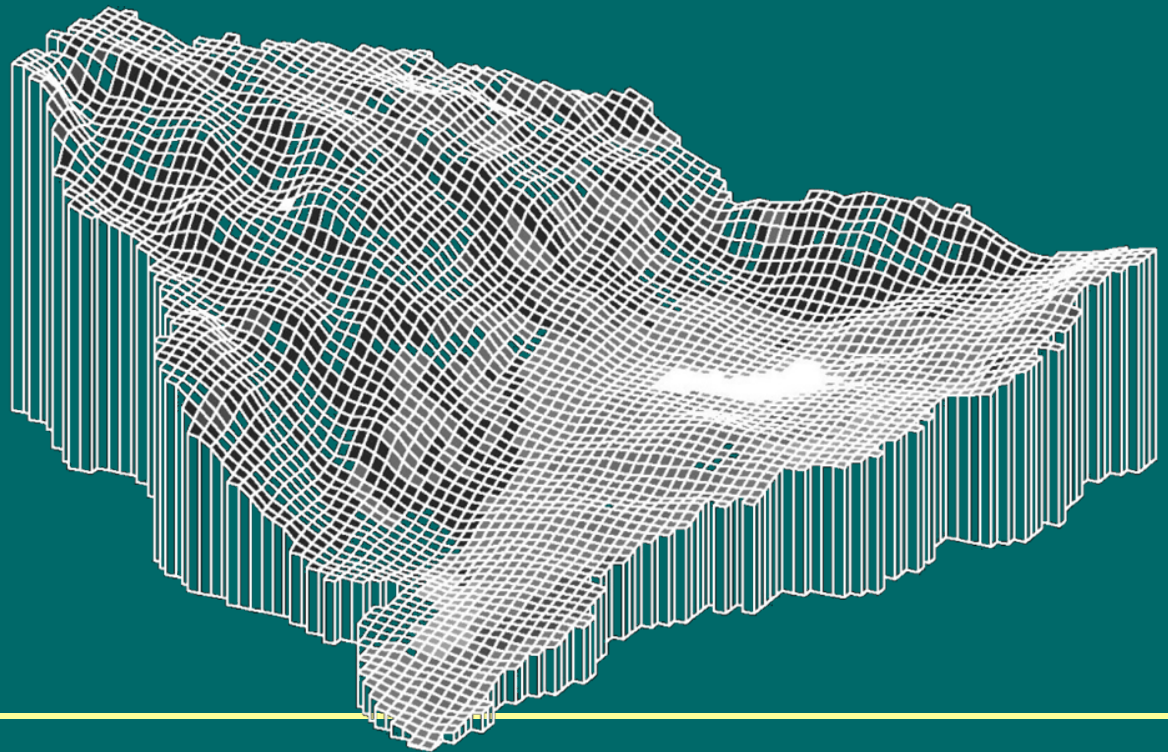
# Outline

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**CATHY (CATchment HYdrology) model description**

**Some recent studies (successes and challenges)**

**Extensions and evolution of the model**



## CATHY (CATchment HYdrology) model description

$$\sigma(S_w) \frac{\partial \psi}{\partial t} = \nabla \cdot [K_s K_{rw}(S_w)(\nabla \psi + \eta_z)] + q_s(h) \quad (1)$$

$$\frac{\partial Q}{\partial t} + c_k \frac{\partial Q}{\partial s} = D_h \frac{\partial^2 Q}{\partial s^2} + c_k q_L(h, \psi) \quad (2)$$

$\sigma$	general storage term [1/L]: $\sigma = S_w S_s + \phi(dS_w/d\psi)$	$z$	vertical coordinate +ve upward [L]
$S_w$	<b>water saturation = <math>\theta/\theta_s</math> [/]</b>	$q_s$	subsurface equation coupling term (more generally, source/sink term) [L <sup>3</sup> /L <sup>3</sup> T]
$\theta$	volumetric moisture content [L <sup>3</sup> /L <sup>3</sup> ]	$h$	<b>ponding head (depth of water on surface of each cell) [L]</b>
$\theta_s$	saturated moisture content [L <sup>3</sup> /L <sup>3</sup> ]	$s$	<b>hillslope/channel link coordinate [L]</b>
$S_s$	specific storage [1/L]	$Q$	<b>discharge along s [L<sup>3</sup>/T]</b>
$\phi$	porosity (= $\theta_s$ if no swelling/shrinking)	$c_k$	kinematic wave celerity [L/T]
$\psi$	<b>pressure head [L]</b>	$D_h$	hydraulic diffusivity [L <sup>2</sup> /T]
$t$	time [T]	$q_L$	<b>surface equation coupling term (overland flow rate) [L<sup>3</sup>/LT]</b>
$K_s$	saturated conductivity tensor [L/T]		
$K_{rw}$	relative hydraulic conductivity [/]		
$\eta_z$	zero in x and y and 1 in z direction		

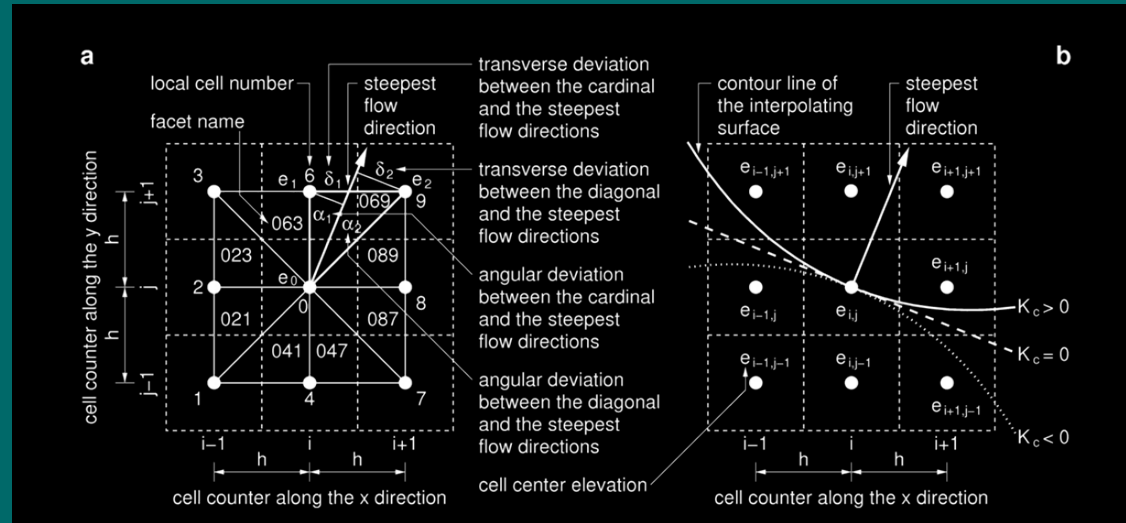
(1) Paniconi & Wood, *Water Resour. Res.*, 29(6), 1993 ; Paniconi & Putti, *Water Resour. Res.*, 30(12), 1994

(2) Orlandini & Rosso, *J. Hydrologic Engrg.*, ASCE, 1(3), 1996 ; Orlandini & Rosso, *Water Resour. Res.*, 34(8), 1998

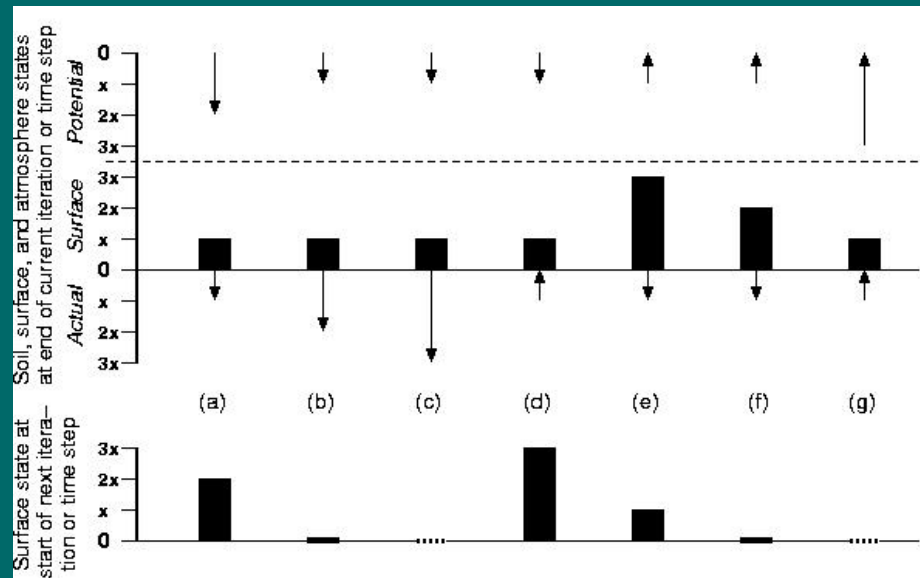
(1)+(2) Putti & Paniconi, *CMWR Proceedings*, 2004; Camporese, Paniconi, Putti, & Orlandini, *Water Resour. Res.*, 46(W02512), 2010

## Main features of the model

Path-based description of surface flow across the drainage basin;  
 several options for identifying flow directions, for separating channel cells from hillslope cells (same governing equation), and for representing stream channel hydraulic geometry.



The coupling term for the model is computed as the balance between atmospheric forcing (rainfall and potential evaporation) and the amount of water that can actually infiltrate or exfiltrate the soil. This threshold-based boundary condition switching partitions potential fluxes into actual fluxes and changes in surface storage.



## Subsurface flow module

Various functional forms for  $S_w(\psi)$  and  $K_{rw}(\psi)$

Heterogeneities ( $K_{sx}$ ,  $K_{sy}$ ,  $K_{sz}$ ,  $S_s$ ,  $\phi$ ) by "zone" and by layer

DEM-based (uniform) grid or user-defined (nonuniform) surface grid input

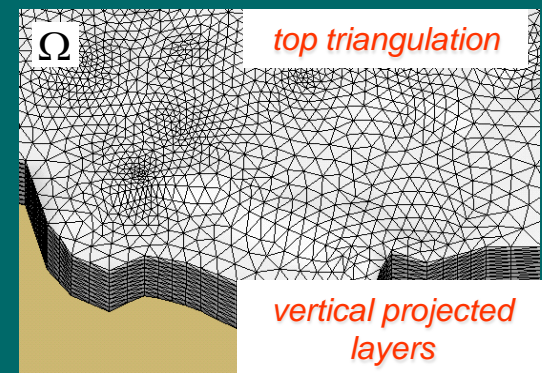
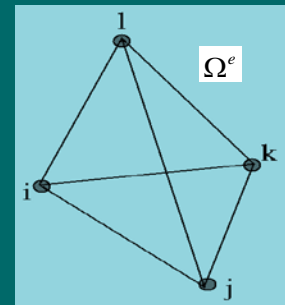
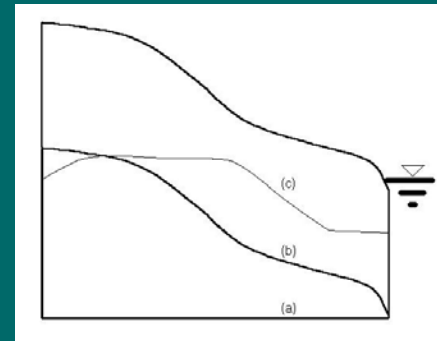
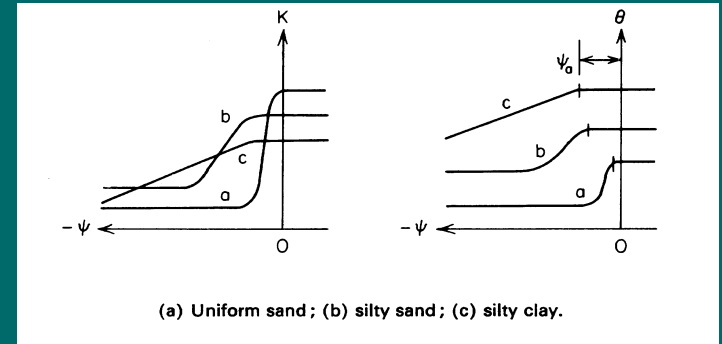
3D grid automatically generated with variable layer thicknesses and different base ("bedrock") shapes

Finite element spatial integrator (Galerkin scheme, tetrahedral elements, linear basis functions)

Weighted finite difference discretization in time

Time-varying boundary conditions: Neumann, Dirichlet, source/sink terms, seepage faces, and atmospheric fluxes

Adaptive time stepping; Newton and Picard linearization; selection of CG-type linear solvers; etc



## Surface flow module (cell differentiation, lake handling, other features)

Overland (hillslope rills) and channel flow along s

DEM pre-analysis for definition of cell drainage directions, catchment drainage network and outlet, etc

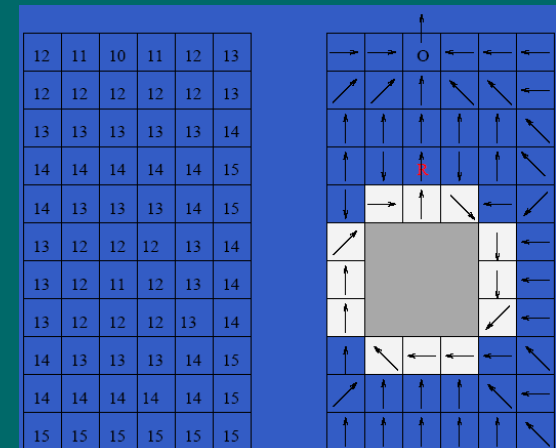
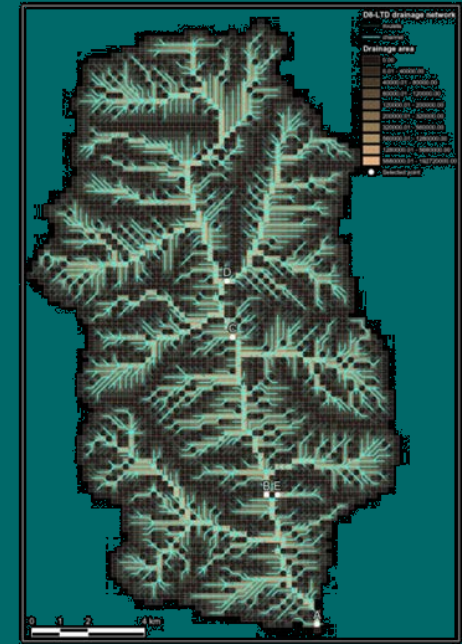
"Constant critical support area": overland flow  $\forall$  cells with upstream drainage area  $A < A^*$ ; else channel flow (2 other threshold-based options also implemented)

Leopold & Maddock scaling relationships; Muskingum-Cunge solution scheme (explicit and sequential); etc

"Lake boundary-following" procedure to pre-treat lakes

Storage and attenuation effects of lakes and other topographic depressions are accounted for by transferring with infinite celerity all the water drained by the "buffer" cells to the "reservoir" cell; level pool routing calculates the outflow from this cell:

$$\frac{\partial V}{\partial t} = I(t) - O(h^*)$$



# Surface flow module (drainage network flow characteristics)

\*

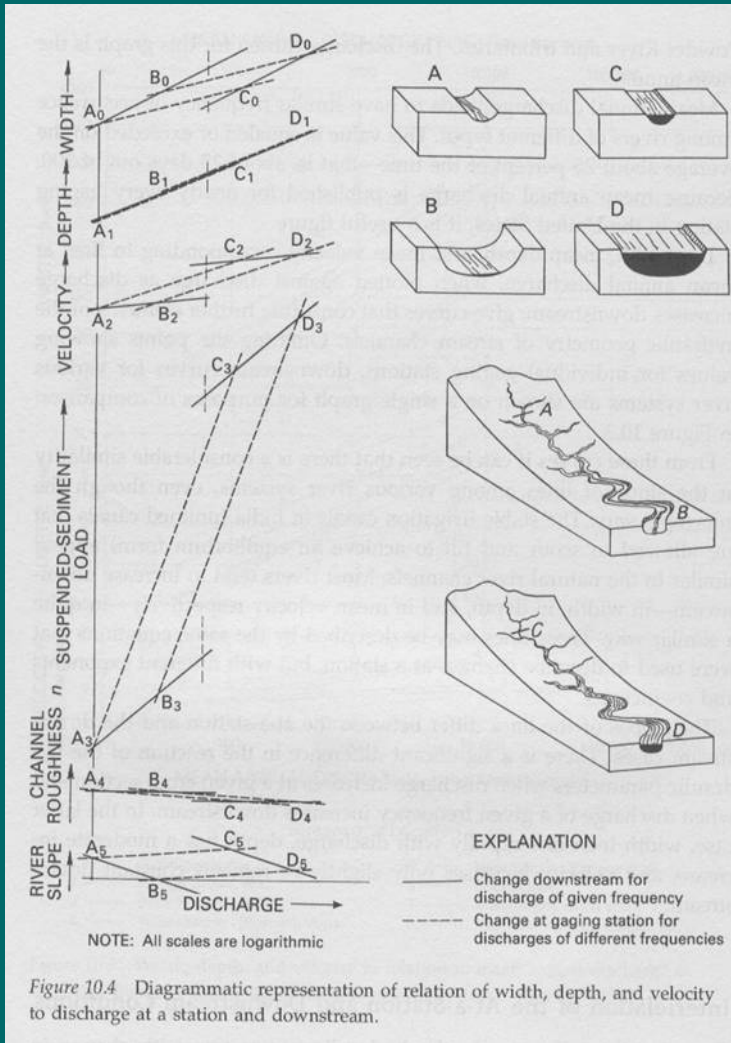


Figure 10.4 Diagrammatic representation of relation of width, depth, and velocity to discharge at a station and downstream.

Surface runoff propagated through a network of rivulets and channels automatically extracted from the DEM.

Spatial (term I) and temporal (term II) variations of flow characteristics of the drainage network (stream channel geometry  $W$  and conductance coefficient  $k_s$ ) derived from application of downstream (according to upstream drainage area) and at-a-station (according to flow discharge) fluvial relationships:

$$W(A, Q) = \underbrace{W(A_s, Q_f)}_{\text{I}} Q_f \underbrace{(A_s)^{-b'}}_{\text{I}} \underbrace{(A/A_s)^{w(b''-b')}}_{\text{I}} \underbrace{Q^{b'}}_{\text{II}}$$

$$k_s(A, Q) = \underbrace{k_s(A_s, Q_f)}_{\text{I}} Q_f \underbrace{(A_s)^{-y'}}_{\text{I}} \underbrace{(A/A_s)^{w(y''-y')}}_{\text{I}} \underbrace{Q^{y'}}_{\text{II}}$$

\* From L. B. Leopold and T. Maddock Jr. (1953), "The hydraulic geometry of stream channels and some physiographic implications", U. S. Geological Survey, Professional Paper no. 252



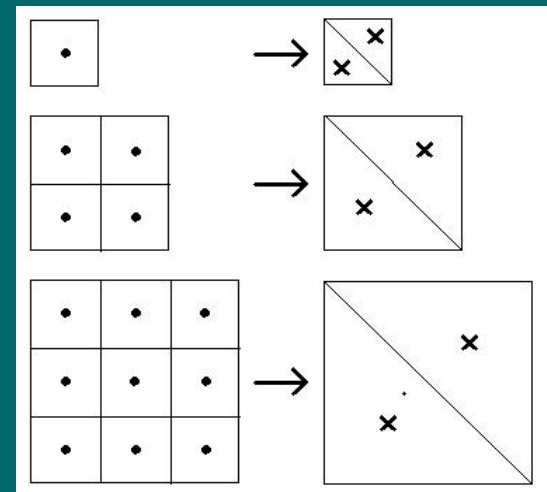
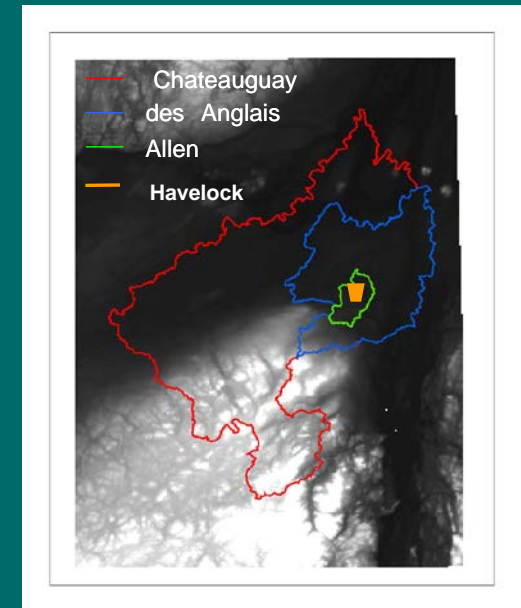
## Coupling, time stepping, and iteration

"Pond\_head\_min" threshold parameter accounts for microtopography

Coupled system solved sequentially\*: surface first, for  $Q^{k+1}$  and  $h^{k+1}$ ; then subsurface, for  $\psi^{k+1}$ ; finally overland flow rates  $q_L^{k+1}$  are back-calculated from subsurface solution [*\*sequential solution procedure but with iterative BC switching during subsurface resolution to resolve the coupling*]

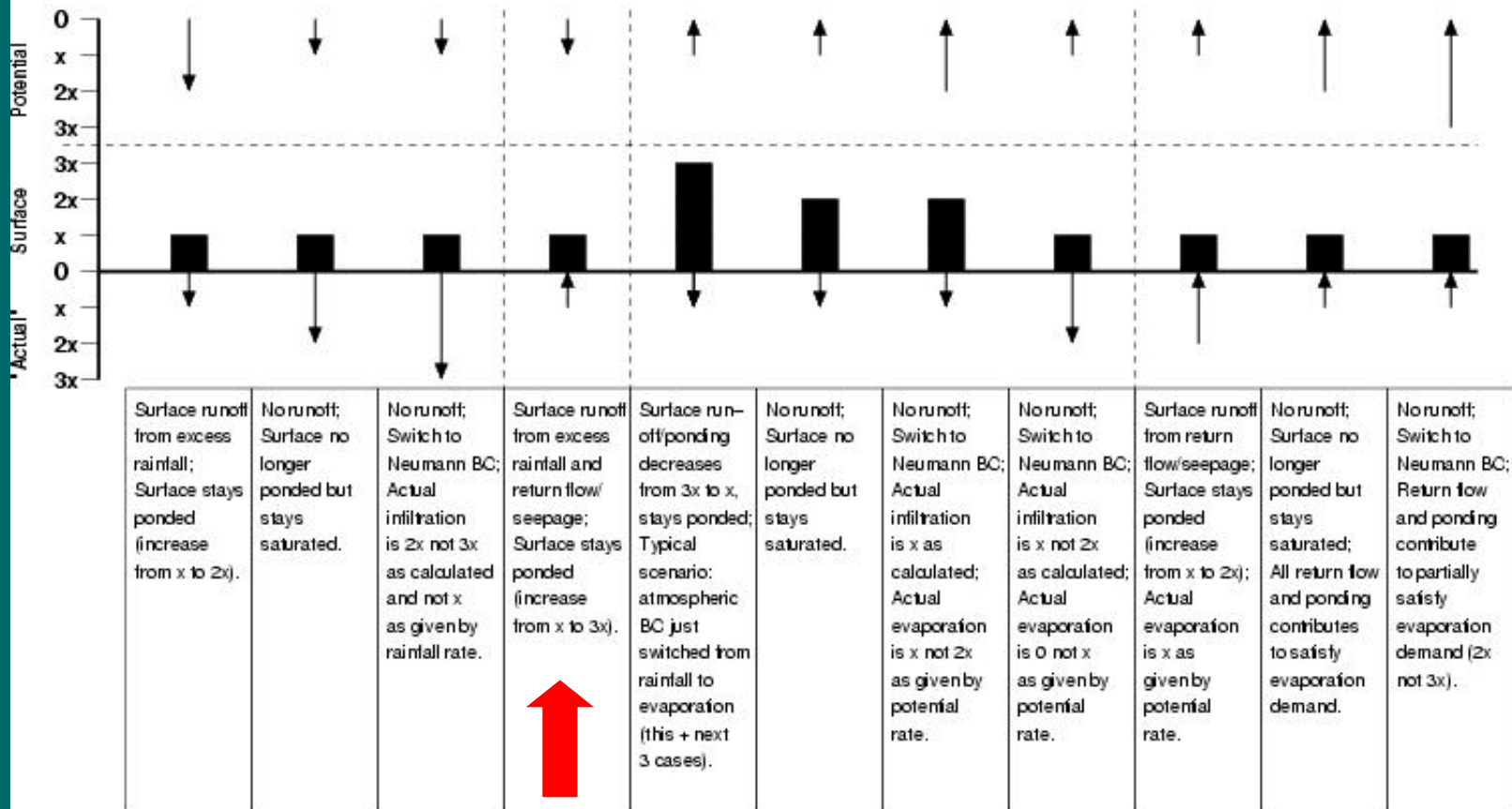
Nested time stepping: one or more surface solver time steps for each subsurface time step (based on Courant and Peclet criteria for the explicit surface routing scheme; also reflects typically faster surface dynamics compared to subsurface)

Interaction between cell-based surface grid and node-based subsurface grid includes input option for coarsening of latter grid. Allows us to exploit slower subsurface dynamics and looser grid constraints (implicit scheme), and can lower CPU and storage costs of 3D module



# Boundary condition-based coupling (surface BC switching procedure)

## Case I: Pondered surface



**Case II: Saturated but not ponded**

**Case III: Unsaturated**

**Case IV: Dry (stage-two drying)**



Analogous, but more straightforward  
(as treated in subsurface-only mode)

## *Some recent studies (successes and challenges)*

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Recharge estimation, impact of heterogeneity

Hydrograph separation, model coupling approaches

Bedrock leakage

Predicting near-surface soil moisture state

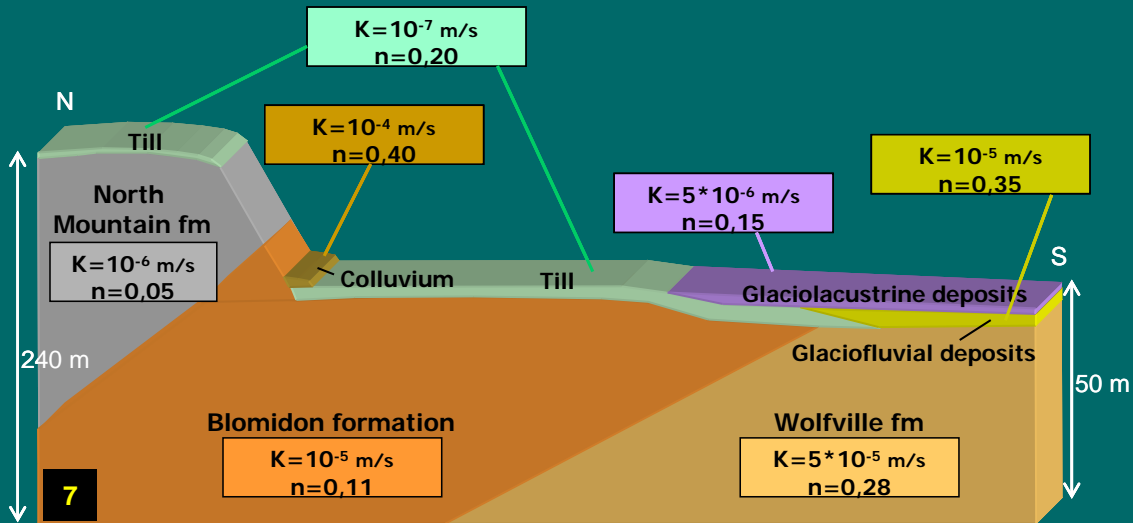
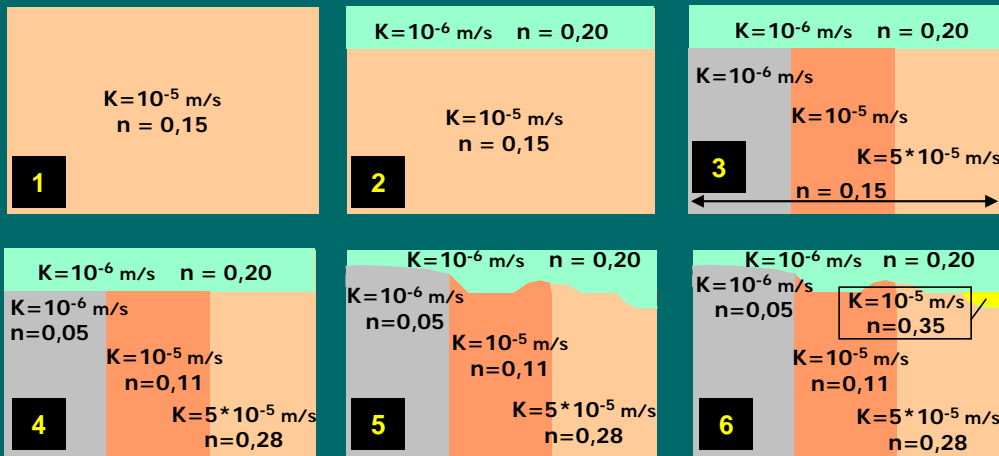
Hysteresis in storage–discharge dynamics

Rill flow vs sheet flow

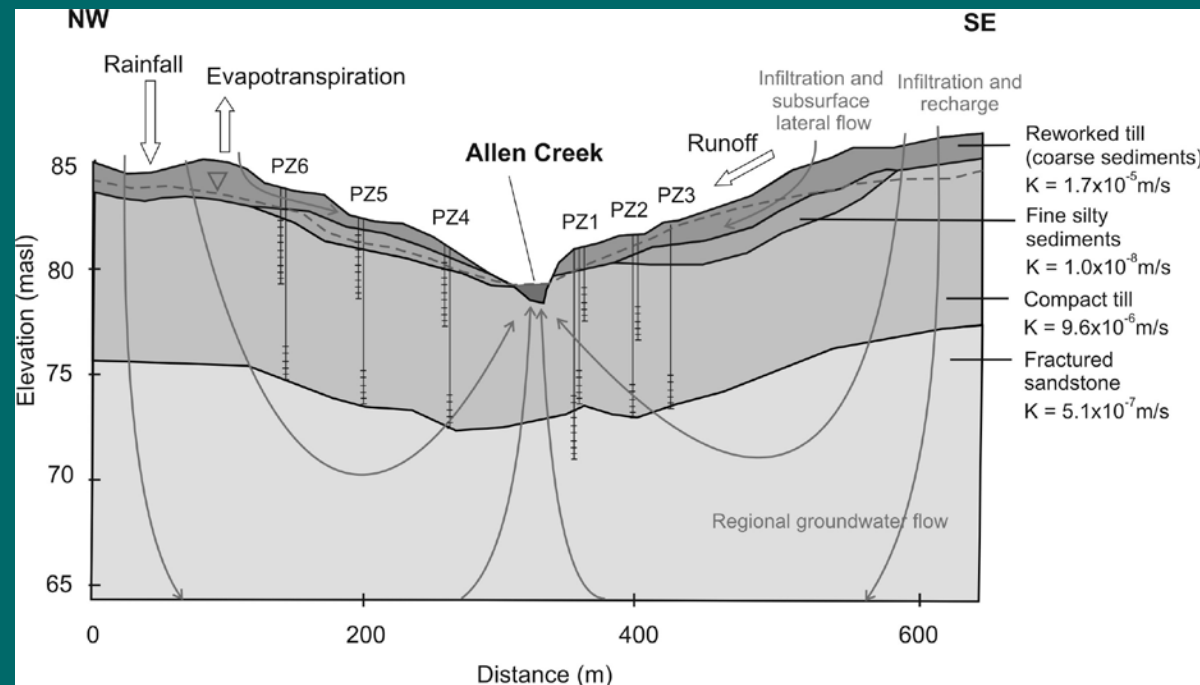
Simulation of multiple response variables

Problem of grid scale invariance





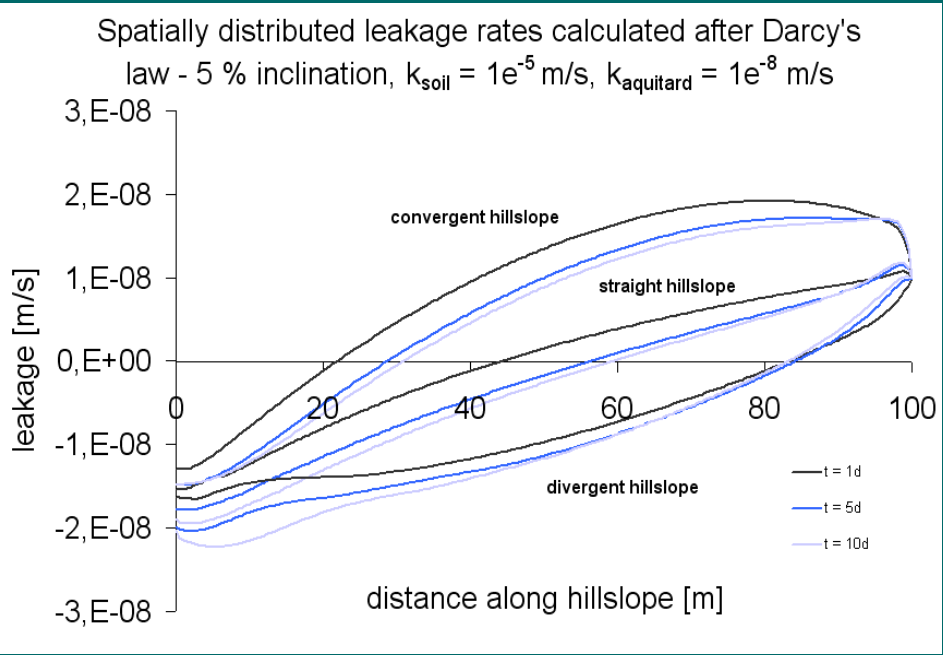
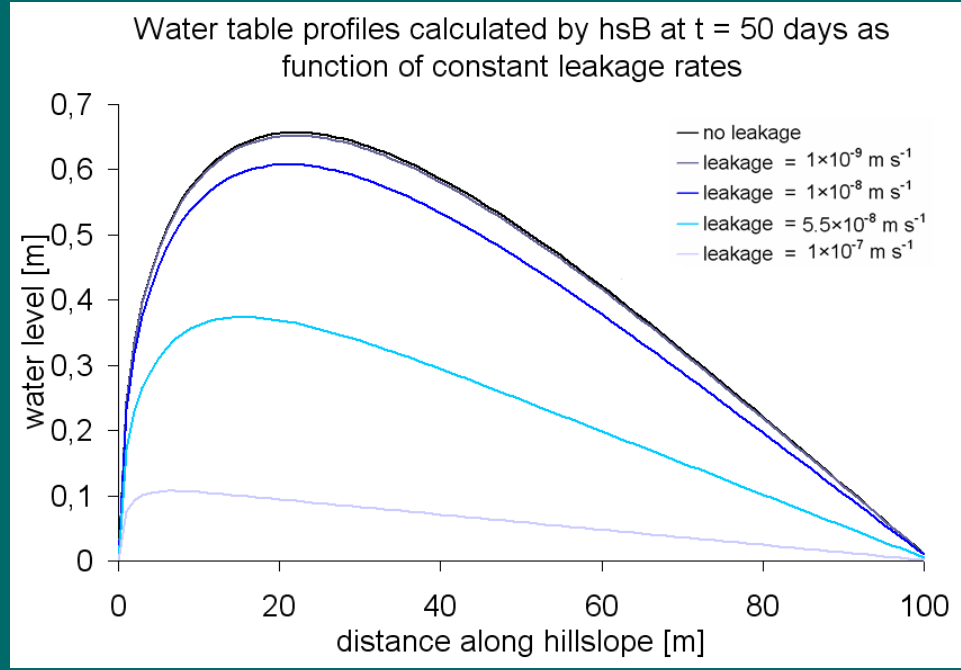
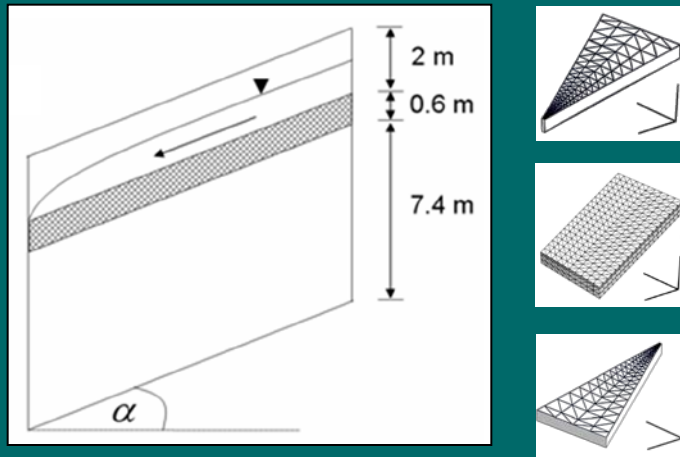
# Hydrograph separation (Havelock hillslope, southwestern Quebec)



Water budget component (mm/y)	HELP + FEFLOW	CATHY
Precipitation	1038	1038
Evapotranspiration	556	556
Recharge	214	233
Total Discharge	456	500
Surface runoff	231	/
Subsurface runoff	36	/
Baseflow	189	/
Exchange with regional fractured aquifer		
+ve (reg.aq. to hillslope)	4	77
-ve (hillslope to reg.aq.)	17	4
Storage change	14	55

*Loose coupling (simplified model) vs CATHY: is hydrograph separation really so straightforward?*

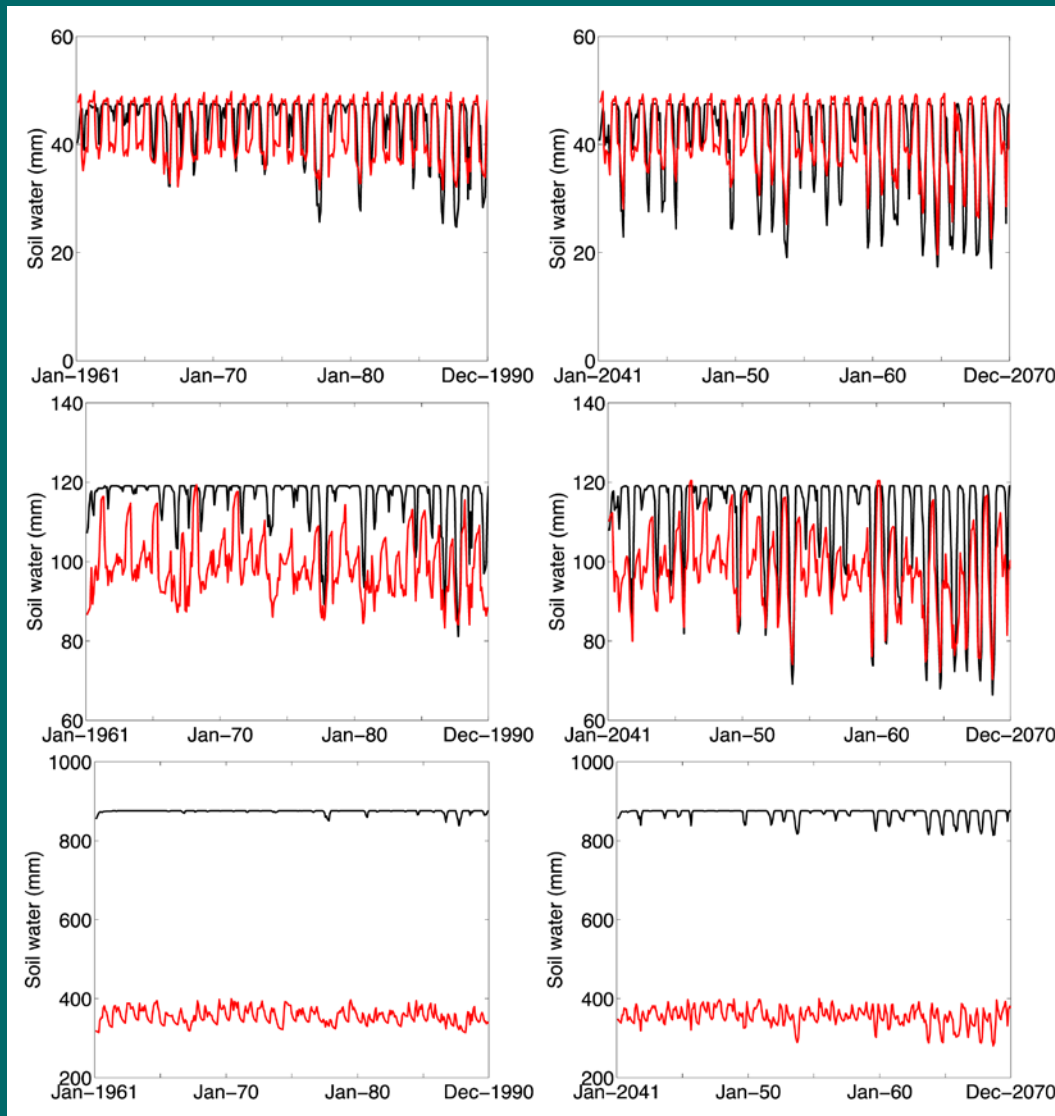
# Bedrock leakage (idealized hillslopes / sloping unconfined aquifers)



*Questioning a fundamental paradigm in hillslope hydrology.*

*Highly dependent on downslope BC treatment – not just a numerical issue.*

## Predicting near-surface soil moisture state (des Anglais river basin, southwestern Quebec)



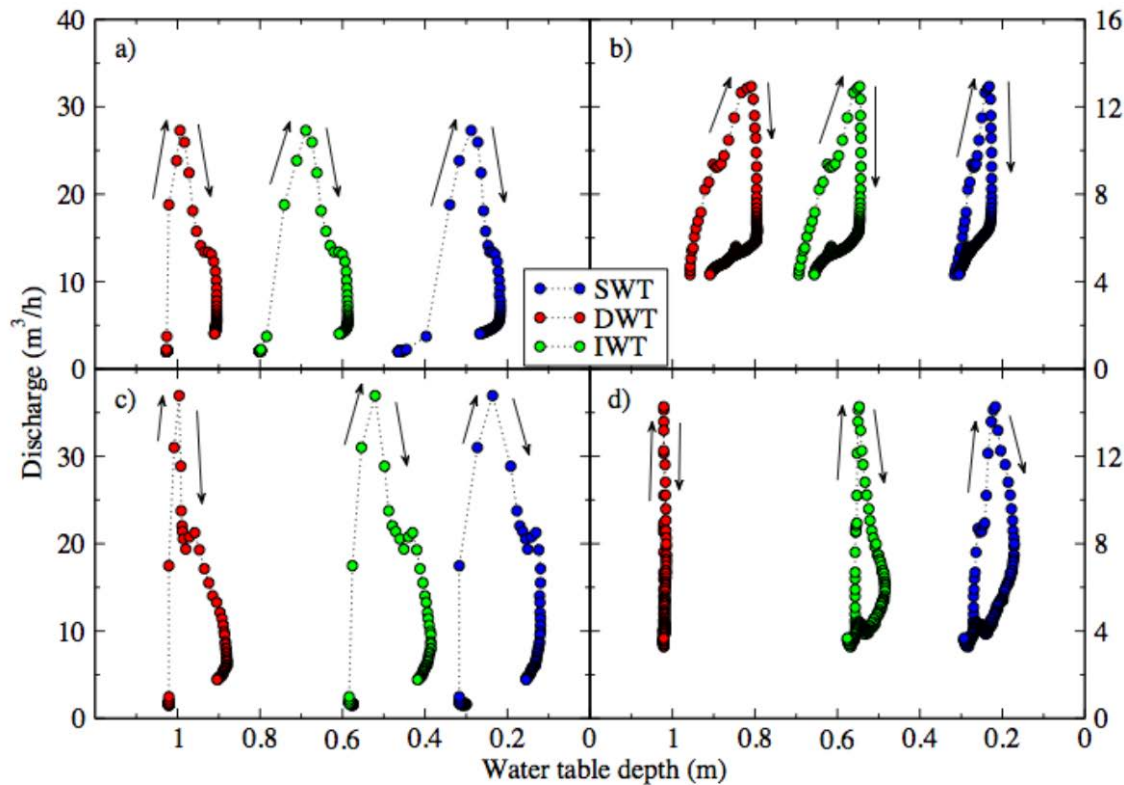
*CLASS (red) and CATHY (black) results for monthly soil water content at different depths (shallow to deep from top to bottom) and for past (left) and future (right) climate projections.*

*Is there a bias in the model?*

*Possible causes:*

- *surface BC handling (eg, need seepage faces along stream banks?);*
- *too-coarse temporal rainfall resolution (peak rain rates get smoothed out → more infiltration, less surface runoff);*
- *missing transpiration;*
- *too-coarse grid around steep terrain (eg, Covey Hill) misses important dynamics;*
- *missing agricultural (eg, tile) drainage;*
- ...

## Hysteresis in storage–discharge dynamics (Larch Creek catchment, northern Italy)



*CATHY can reproduce hysteresis and thresholding behavior observed in the relationship between the subsurface storage and discharge responses of a small catchment. No ad hoc parameterization is needed.*

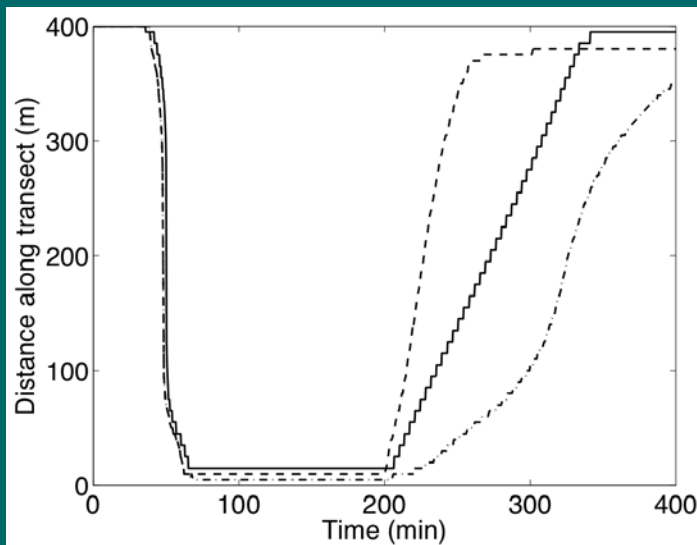
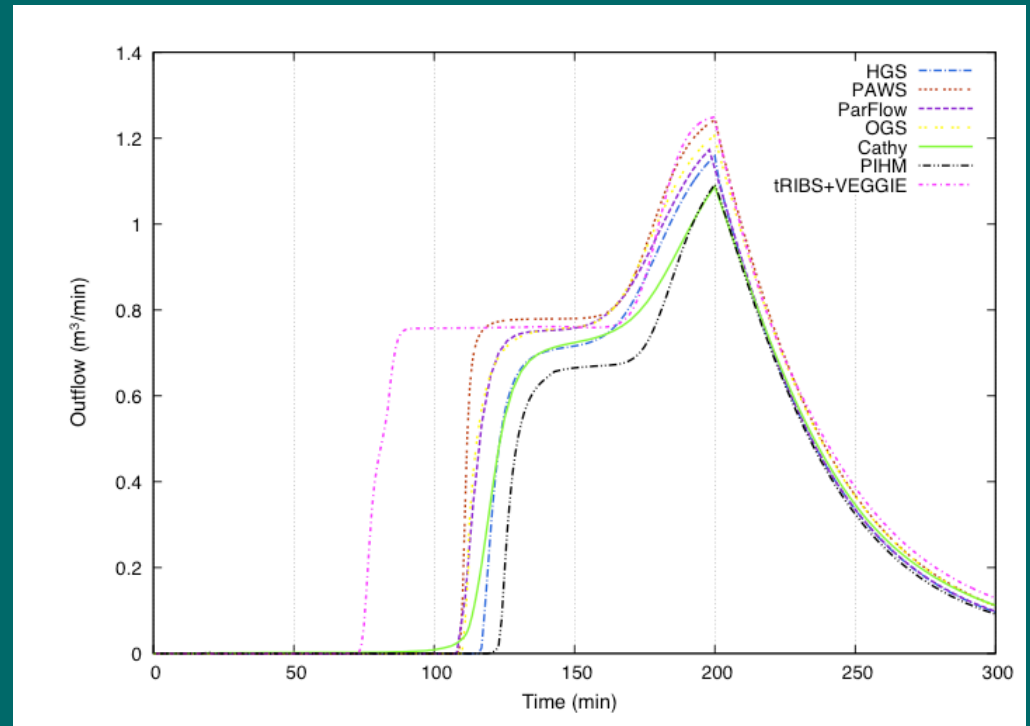
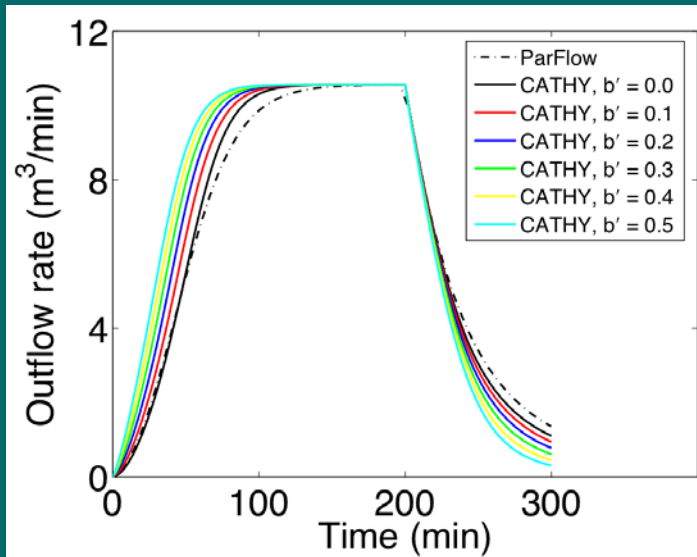
*Is there any link to or contribution from unsaturated zone hysteresis?*

*Nature and role of nonlinear phenomena in atmosphere–land surface–soil–aquifer interactions and feedbacks are poorly understood.*

*Simulated (top) and observed (bottom) responses in shallow, deep, and intermediate observation wells for 7–8 August 2009 (left) and 16–18 August 2009 (right) rainfall events.*



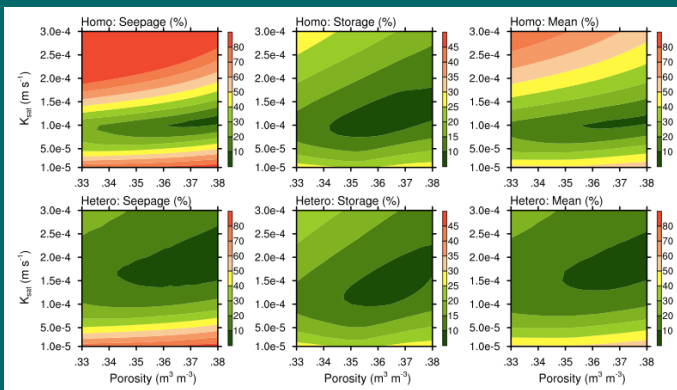
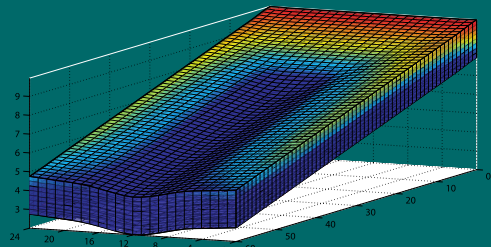
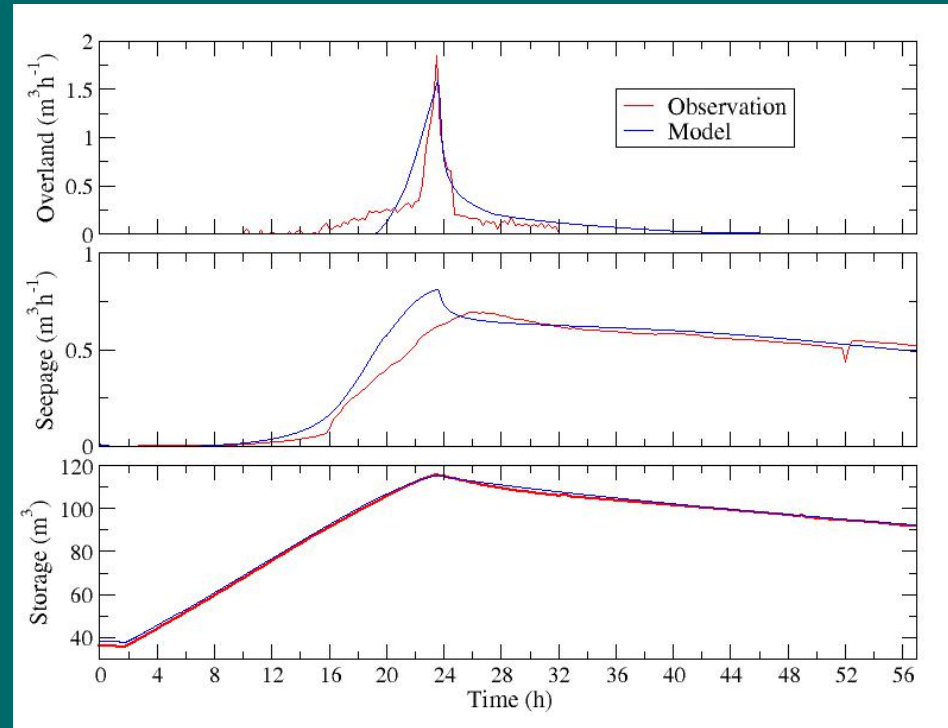
## Rill flow vs sheet flow (benchmark tests for model intercomparison)



*Benchmarking is a complicated business even for synthetic test cases ... Why and how do different models (even based on the same equations) perform differently? And what to do about it??*

*Evolution of the point of intersection between the water table and the land surface for the sloping plane test case. The outlet face is at  $x = 400$  m. ParFlow: solid line; CATHY: dashed-dotted (sheet flow) and dashed (rill flow).*

# Simulation of multiple response variables (Biosphere 2 Landscape Evolution Observatory)

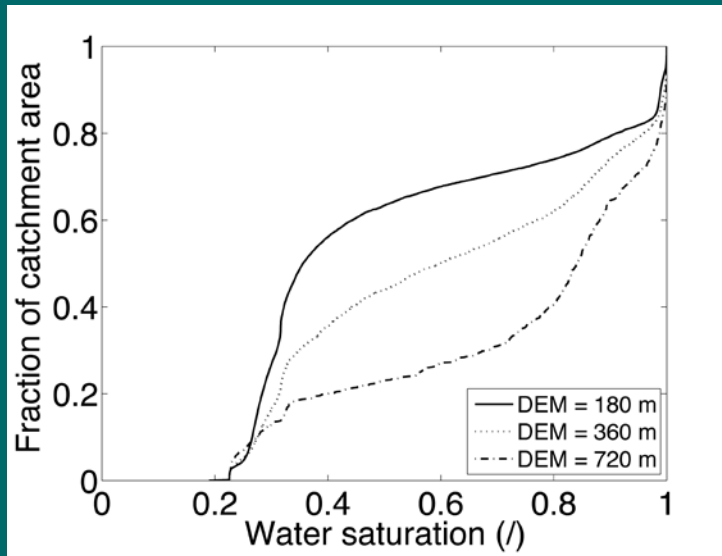
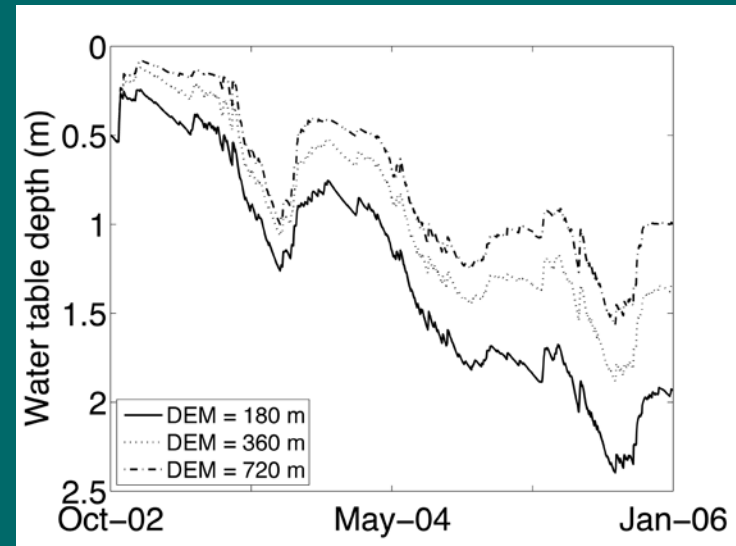
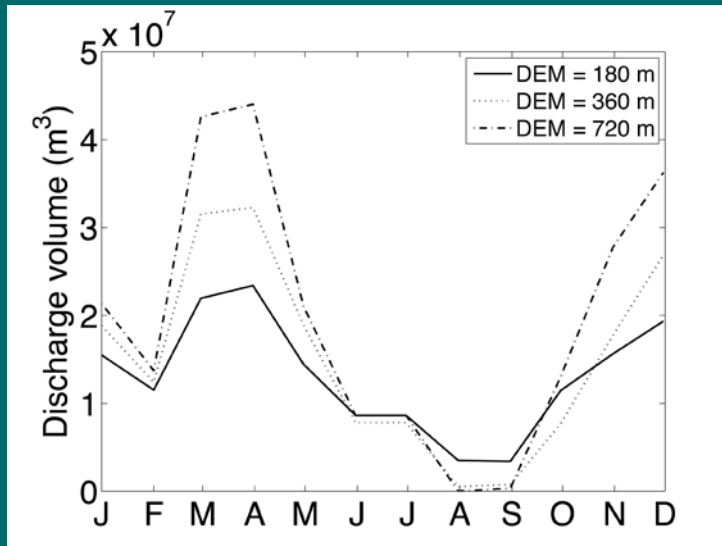


All three variables are integrated measures of the hillslope response. How does the model perform when we examine distributed responses? And what happens when we include solute transport?

Issue of equifinality: does the mechanism we invoke imply (sole) causation?

“Perfect knowledge” of the bottom BC ... how much does this help?

## Problem of grid scale invariance (des Anglais river basin, southwestern Quebec)



Comparison of simulation results at 3 different DEM resolutions: average monthly streamflow discharge, catchment-averaged daily water table depth, and cumulative frequency distribution of surface soil saturation after a 10-day rain period.

There are many reasons (causes) for grid scale invariance (and not limited to just the CATHY model). One of the most serious challenges in catchment-based hydrological / ecological modeling ...

## Extensions and evolution of the model (flow and transport; other processes)

Flow (water quantity and distribution)

Surface

$$\frac{\partial Q}{\partial t} + c_k \frac{\partial Q}{\partial s} = D_h \frac{\partial^2 Q}{\partial s^2} + c_k q_s$$

Subsurface

$$\sigma(S_w) \frac{\partial \psi}{\partial t} = \nabla \cdot [K_s K_r(S_w) (\nabla \psi + \eta_z)] + q_{ss}$$

Transport (water quality and interactions with other substances)

Surface

$$\frac{\partial Q_m}{\partial t} + c_t \frac{\partial Q_m}{\partial s} = D_c \frac{\partial^2 Q_m}{\partial s^2} + c_t q_{ts}$$

Subsurface

$$\frac{\partial \theta c}{\partial t} = \nabla \cdot [-qc + D \nabla c] + q_{tss}$$

# Evolution of the model

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## Catchment/DEM-based subsurface flow modeling

## Variable density transport (an early coupled model)

## Surface/subsurface flow coupling

## Advanced numerics

## Improved grid-based DEM analysis

## Data assimilation

## Surf/subsurf & flow/transport coupling

## Ecohydrological modeling (LSM coupling, vegetation, energy balance, CO<sub>2</sub>, nutrient cycles)

## Detailed experiments, geophysical inversion, parameter estimation, sensitivity & uncertainty analysis, model intercomparison,

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## biogeochemistry & soil weathering, sediment transport & erosion, soil freezing & snowmelt, preferential flow, unstructured grids, ...

# Collaborators

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